Neural Network Virtual Sensors for Fuel Injection Quantities with Provable Performance Specifications

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Abstract—Recent work has shown that it is possible to learn neural networks with provable guarantees on the output of the model when subject to input perturbations, however these works have focused primarily on defending against adversarial examples for image classifiers. In this paper, we study how these provable guarantees can be naturally applied to other real world settings, namely getting performance specifications for robust virtual sensors measuring fuel injection quantities within an engine. We first demonstrate that, in this setting, even simple neural network models are highly susceptible to reasonable levels of adversarial sensor noise, which are capable of increasing the mean relative error of a standard neural network from 6.6% to 43.8%. We then leverage methods for learning provably robust networks and verifying robustness properties, resulting in a robust model which we can provably guarantee has at most 16.5% mean relative error under any sensor noise. Additionally, we show how specific intervals of fuel injection quantities can be targeted to maximize robustness for certain ranges, allowing us to train a virtual sensor for fuel injection which is provably guaranteed to have at most 10.69% relative error under noise while maintaining 3% relative error on non-adversarial data within normalized fuel injection ranges of 0.6 to 1.0.

I. INTRODUCTION

Although neural networks have made remarkable progress in achieving state-of-the-art performance in vision and language tasks over recent years, other domains have been comparatively slower at incorporating these types of models into their systems. For higher-states applications such as those in driving, security, or health care systems, the lack of performance guarantees and the inability to interpret the model constitute critical shortcomings that prevent neural networks from being more widely adopted. The unpredictability and brittleness of neural networks is exemplified by a now well-studied phenomenon called adversarial examples [1], where datapoints that look indistinguishable from "normal" examples are specifically perturbed to be misclassified by machine learning systems. These adversarial examples originally focused on ℓ_{∞} -bounded perturbations, which model a small amount of noise added to each pixel in the vision setting [2], but have since expanded to other perturbations

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ranging from imperceptible perturbations such as ℓ_p -bounded additive noise [3], [4], [5], image transformations such as rotations or translations [6], [7], and Wasserstein perturbations [8], [9], to more visible, real-world perturbations such as adversarial stickers [10], [11], clothing [12], glasses [13], and textures [14].

As a result, a natural prerequisite towards deploying neural networks in higher-stakes applications is to learn a model which performs well not just under the typical setting of testset performance, but to also be robust to perturbed inputs. To this end, there has been a great deal of work towards training networks which are not only empirically robust [15], [16], but also provably robust [17], [18], [19], [20], [21], the latter of which is able to give provable guarantees on the performance of the neural network against any perturbation within a given set. Although these methods could potentially be used to verify crucial safety and reliability requirements in other domains, provably robust training methods have largely been focused on vision and language domains [22], where they are largely limited to medium-scale networks and haven't seen much use outside the context of defending against adversarial examples.

In this paper, we study how provably robust networks can be leveraged for real-world problems beyond adversarial examples in vision and language problems. Specifically, we focus on the problem of learning a virtual sensor for use in an engine controller, where the goal is to learn a model which accurately estimates the amount of fuel which was injected into the engine of a vehicle based on various sensors readings. Although a virtual sensor for fuel injection may never be subject to worst-case adversarial examples in the real world, reliably and robustly predicting accurate fuel injection quantities under noisy conditions has direct consequences on fuel efficiency and engine safety. Consequently, provable guarantees against adversarial examples can be leveraged not as a defense mechanism against adversarial examples, but instead as a way to learn model specifications which guarantee certain levels of performance of the neural network under entire sets of noise.

We first demonstrate that even simple neural network models suffer from adversarial examples in this setting, where perturbed sensor readings can drastically degrade the model performance from 6.6% to 43.8% mean relative error, subject to sensor perturbations of 1% for time series data from one sensor and 0.1% for data from a second sensor. To improve the robustness of the neural network and obtain performance guarantees, we adapt provably robust training methods based on duality [17], [18] to the regression

setting, and train a provably robust model which achieves only 16.4% mean relative error when subject to adversarial sensor perturbations. More importantly, the provably robust and verification methods developed for adversarial examples produce bounds which are specifications on the worst-case performance of the model: our virtual sensor is provably guaranteed to have at most 16.5% mean relative error under these noise levels. Finally, in some scenarios, it may be desirable to have a model which has better performance specifications within a limited output range instead, rather than having overall robustness across the entire output range. We show how the robustness of the virtual sensor can be tuned to target a limited set of output ranges, resulting in a model which achieves 3% relative test error and is provably guaranteed to have at most 10.69% worst-case relative error when predicting normalized fuel injection quantities 0.6 and 1.0 under any sensor noise. Notably, this is comparable in performance to that of non-robust standard training, which achieves 2.7% relative error within the same output range but with a worse guarantee of 17.78% worst-case relative error.

II. BACKGROUND

After their initial discovery [1], adversarial examples were initially generated by using a single gradient step called the fast gradient sign method (FGSM) [2], which was later improved by adding randomized initialization [23], taking multiple smaller steps [24], and using momentum [25]. By training on the adversarially perturbed examples instead of the original examples, it is possible to learn models which are empirically robust to an adversarial attack, also known as adversarial training. Training against a projected gradient descent adversary is recognized as one of the earliest known defenses against adversarial examples that remains robust to this day [15], and has been further improved in subsequent work to achieve higher performance [16], generalize to different threat models [4], [5], and combine with other heuristic defenses [26], [27]. Variations of adversarial training were proposed to speed up the process, such as free adversarial training [28], reducing the complexity of computing gradients [29], and a return to single step FGSM adversarial training [30].

Other methods beyond adversarial training for mitigating the effect of adversarial examples include preprocessing techniques [31], [32], [33] and detection algorithms [34], [35]. However, a significant number of defenses against adversarial examples [36], [37], [38], [39] were ultimately shown to be ineffective [40], [41], [42], [43], [44], and several papers began defeating adversarial defenses en masse [45], [46] as well as recommending best practices to ensure a proper evaluation for adversarial defenses [47].

Some work has looked into designing unbreakable defenses known as provable or certified defenses against adversarial attacks for neural networks. In contrast to other approaches, these methods calculate a guaranteed bound on the output of the network over some region of the input space, typically taken to be an ℓ_p ball around a data point. Some of these bounds can be computationally expensive

and can only be used to verify trained networks, relying on satisfiability modulo theory (SMT) solvers [48], [49], [50], mixed integer linear programming (MILP) [51], semidefinite programming [52], and linear programming [17], [53]. Other bounds which are looser but more efficiently computable can actually be optimized during training, utilizing techniques for distributional robustness [54], duality [17], [18], more efficient semidefinite programming formulations [55], abstract interpretations [56], [57], interval bound propagation [58], and randomized smoothing [59], [60]. Other work has designed theoretically motivated training heuristics which can be independently verified at test time as being robust [61], [62].

Beyond adversarial examples, other relevant work includes those studying model verification in contexts beyond image classification, typically within the context of controller verification. To name a few, hybrid controllers for automated highway systems were verified to be safe by design with game theoretic techniques [63], and frameworks for verifying controller software for manufacturing plants were developed for programmable logic controllers [64]. While some work has looked at verifying properties of neural networks for safety critical applications, they are mostly limited to measuring the confidence and monitoring the performance of an existing neural network without offering any formal guarantees [65], [66]. Most relevant to our work are those which give provable guarantees for neural network models, such as using bounded model checking techniques to verify safety properties of a controller for the classic Cart Pole System (inverted pendulum) with an SMT solver [67], as well as recent work which verified the safety of an autonomous robot controller using satisfiability modulo convex optimization [68].

III. ROBUST FUEL INJECTION

Unlike past work which has largely focused on large-scale image classification, in this paper we study the regression problem of learning a neural network virtual sensor for fuel injection. The virtual sensor system predicts the amount of injected fuel during a specific time interval, based on two sensors. The first sensor provides a time series of sensor data while the second sensor is essentially constant within the time interval. More accurate fuel injection predictions result in better fuel efficiency and safety considerations, however the sensor readings are subject to measurement noise. The goal is to not only train a neural network which accurately predicts fuel injection quantities while being robust to measurement noise from the sensors, but to also generate certificates which can guarantee the worst-case performance of the model under noise and serve as a certified specification for the model.

To train a neural network robust to noise, we leverage duality-based methods for learning provably robust networks [17], [18], which converts inputs, layers, and the loss into their dual components to construct a bound on the output of the network. Specifically, for a given neural network f and input x, these methods are able to compute a bound J(x)

on the worst case output of the network subject to some perturbation set $\mathcal{B}(x)$ around x

$$\max_{z \in \mathcal{B}(x)} f(z) \cdot c \le J(x; c) \tag{1}$$

for any constant c (we use a network with one output unit for simplicity as that is sufficient for our regression setting, but the original approach from [18] applies to multiple outputs). By choosing $c = \{-1, 1\}$, one can compute upper and lower bounds on the output of a neural network.

To adapt the method to the regression setting, we can use the duality-based method to directly compute lower and upper bounds on the output to the network and use these to compute a bound on the mean squared error. Specifically, let

$$\ell \le \min_{z \in \mathcal{B}(x)} f(z), \quad \max_{z \in \mathcal{B}(x)} f(z) \le u$$
 (2)

be lower and upper bounds (ℓ, u) on the output of a network f subject to perturbations in $\mathcal{B}(x)$ around an example x. Then, we can bound the worst-case mean squared error within the same set $\mathcal{B}(x)$ with respect to the output y with

$$\max_{z \in \mathcal{B}(x)} (f(z) - y)^2 \le \max((\ell - y)^2, (u - y)^2)$$
 (3)

which we will call *robust mean squared error*. Training provably robust regression models then amounts to running backpropagation on the robust mean squared error, and the lower and upper bounds serve as guaranteed certificates on the output of the neural network.

IV. EXPERIMENTS

A. Fuel injection data

We begin with a brief description of the fuel injection dataset used in this work. The features consist of a time sequence of K-1 readings of the first sensor and an additional reading of the second sensor, resulting in a feature vector of dimension K. The input features as well as the output are all normalized, resulting in a range from 0 to 1. We collected 20,000 data points for a training set, and 1,000 data points for a test set.

B. Architecture, parameters, and evaluation metrics

We adopt fairly standard practices for training the neural network, which we outline here. The fuel injection virtual sensor is a neural network with a single hidden layer with 32 units and ReLU non-linearities. Note that the size of the architecture must be relatively small and simple to accommodate the engine controller. We train the network for 1000 epochs with minibatch size 512, using a stochastic gradient descent optimizer with momentum set to 0.9 using a cyclic learning rate [69] which peaks at 0.035 at the 250th epoch. We report mean relative error (MRE), which is computed for examples $\{x_i, y_i\}_{i=1...n}$ on a given neural network f as

$$MRE(f) = \frac{1}{n} \sum_{i} \frac{|f(x_i) - y_i|}{|y_i|}$$
 (4)

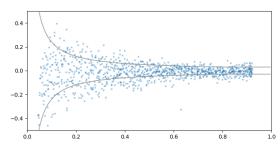


Fig. 1. Test performance of a standard (non-robust) model over the range fuel injection quantities. Each point represents a single example, where the vertical axis denotes the relative error of the model and the horizontal axis denotes the target output quantity to be predicted as a fraction of the maximum possible value. The gray lines denote margins of allowable relative error, met by most of the data in the upper ranges.

We also report the mean error under noise, where noise is drawn uniformly at random from the perturbation set and performance is averaged over 1000 draws, as well as the empirical adversarial error rate from a PGD adversary. Finally, we report exact verification results from a MILP solver and the looser duality based bound on the worst-case adversarial error rate, which constitute our provable guarantees on the performance of the controller. All experiments are compactly summarized in Table I.

Training the fuel injection virtual sensor on this data using standard methods (minimizing mean squared error) achieves a network with 6.6% mean relative error, and under 3% mean relative error for the fuel injection range of 0.6 to 1.0. A scatter plot depicting the test error over different fuel injection quantities is shown in Figure 1. In addition to a standard model, we also train a model with data augmentation with non-adversarial noisy examples as an additional natural baseline. Noise for each example was uniformly randomly sampled from the target perturbation set, and the resulting trained model obtains similar relative error to the standard baseline but has slightly better performance against random noise.

C. Adversarial examples for fuel injection systems

We first demonstrate the vulnerability of the neural network virtual sensor to adversarial examples when trained using standard methods. Based on the noise levels observed in the sensor measurements, we assume a noise level of 1% for each entry in the time series of the first sensor and 0.1% for the entry of the second sensor, which constitutes the set of allowable perturbations that we wish to be robust to, and additionally clip the perturbed features to stay within the normalized range of 0 to 1. To generate adversarial examples and find worst-case perturbations, we use a 10 step projected gradient descent (PGD) adversary with step size 0.25% for the time series features and 0.025% for the remaining feature.

We immediately find that both baselines (standard training and noisy training) are highly susceptible to adversarial examples, with the standard model having mean relative error increased from 6.61% to 43.88%, while the model trained on noisy examples fairs slightly better, going from 6.79% to 33.38% mean relative error. An example of an adversarially perturbed time series sequence is depicted in Figure 2.

¹These bounds are computed with the open-source implementation of the duality based approach available at https://github.com/locuslab/convex_adversarial

TABLE I
FUEL INJECTION PERFORMANCE OVER VARIOUS FUEL INJECTION RANGES AND TRAINING METHODS

		[0.0-0.2)	[0.2-0.4)	[0.4-0.6)	[0.6-0.8)	[0.8-1.0]	[0.0-1.0]
Standard training	Relative error	15.96%	8.17%	4.52%	2.87%	2.53%	6.61%
	Noise error	22.89%	9.94%	5.70%	3.77%	3.13%	8.76%
	PGD error	119.03%	46.94%	28.15%	19.39%	14.74%	43.88%
	MILP bound	125.43%	48.39%	28.89%	19.82%	15.14%	45.63%
	Dual bound	139.48%	52.96%	31.21%	21.31%	16.25%	50.09%
Noise data augmentation	Relative error	15.63%	8.64%	5.00%	2.93%	2.61%	6.79%
	Noise error	18.89%	9.47%	5.60%	3.50%	2.97%	7.86%
	PGD error	85.26%	35.92%	23.05%	16.04%	12.56%	33.38%
	MILP bound	91.05%	37.36%	23.98%	16.53%	12.90%	35.07%
	Dual bound	109.61%	41.90%	26.03%	18.06%	14.19%	40.29%
Robust training	Relative error	19.79%	11.45%	9.50%	7.32%	6.76%	10.78%
	Noise error	20.17%	11.57%	9.54%	7.35%	6.79%	10.89%
	PGD error	34.13%	17.05%	13.40%	10.37%	8.98%	16.40%
	MILP bound	34.52%	17.12%	13.46%	10.41%	9.05%	16.51%
	Dual bound	35.09%	17.37%	13.86%	10.66%	9.22%	16.84%
Targeted robust training	Relative error	14.33%	7.31%	5.71%	3.24%	2.78%	6.53%
	Noise error	20.68%	8.76%	6.31%	3.64%	3.03%	8.21%
	PGD error	102.70%	35.85%	20.41%	11.76%	8.92%	34.26%
	MILP bound	107.48%	37.16%	20.91%	11.97%	9.03%	35.55%
	Dual bound	118.42%	40.84%	22.43%	12.59%	9.34%	38.78%

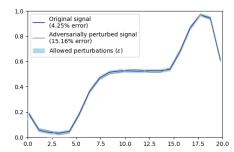


Fig. 2. An example of an adversarially perturbed time series sequence for a non-robust fuel injection controller, where the horizontal axis denotes time and the vertical axis denotes the sensor reading. The dark blue line denotes the true underlying signal, while the gray line denotes the adversarially perturbed signal. The light blue region denotes the set of allowable perturbations. This adversarial perturbation, which is well within the realm of reasonable sensor noise, increases the relative error of a standard model on this example from 4% to 15%

D. Robust training and duality-base certificates

We next train a model using provably robust training methods adapted to the regression problem by minimizing the robust mean squared error as discussed in Section III. As expected, the robustly trained model has the most resilience to adversarial attacks, achieving 16.40% mean relative error under adversarial perturbations, significantly better than the baselines. Furthermore, the robust training method comes with a duality based bound which is a provable upper bound on the relative error of the neural network over the perturbation set. We find that the robustly trained network has a dual bound which guarantees at most 16.84% worst-case mean relative error, which is a significantly better guarantee than the dual bound evaluated on the standard and noisy baselines which can only guarantee at most 50.09% and 40.29% worstcase mean relative error respectively. However, this comes at a cost: the performance on unperturbed examples is degraded to 10.78%, approximately 4% worse than the standard model.

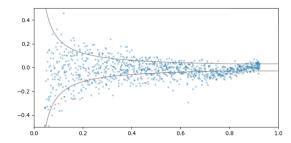


Fig. 3. Test performance of a model targeted to be robust over the higher range of fuel injection quantities. Each point represents a single example, where the vertical axis denotes the relative error of the model and the horizontal axis denotes the target output quantity to be predicted as a fraction of the maximum possible value. The gray lines denote margins of allowable relative error, met by most of the data in the upper ranges.

E. Exact robustness verification

Due to the small size of the network, it is computationally feasible calculate the exact worst case performance within the perturbation set, using an MILP solver [51]. Verifying all of the examples in the test set for a single model takes approximately 15-20 minutes. We find that the MILP bound is slightly tighter for the baseline, improving their verified performance by about 5% mean relative error each, whereas the dual bound for the robustly trained network is already nearly tight and closely matches the MILP bound.

F. Targeted robust training

Finally, depending on the desired specifications of the model, it may be acceptable for the virtual sensor to perform well only on certain output ranges of fuel injection. For example, in our setting, it is typical for performance in the upper ranges (e.g. 0.6-1.0) of fuel injection to carry higher importance than the lower ranges (e.g. 0.0-0.6), and so one might wish to maximize robustness only within this range. However, training on the full range of fuel injection data is still necessary to achieve good generalization on the test

set. To balance this trade-off between standard performance and robustness among the higher ranges of fuel injection, we train on a convex combination of the standard mean squared error of the entire training data and the robust mean squared error of the targeted subset of the training data. Specifically, for a dataset (X,Y), we minimize the following targeted loss

$$\ell_{tar}(f(X), Y) = \lambda \ell(f(X), Y) + (1 - \lambda)\ell_{rob}(f(X_{tar}), Y_{tar})$$
(5)

where ℓ is the standard loss (mean squared error), ℓ_{rob} is the robust loss (robust mean squared error), (X_{tar}, Y_{tar}) are the targeted subsets of the original dataset (data points with fuel injection targets from 0.6-1.0), and λ is a hyperparameter used to control the balance between robustness and accuracy.

Setting $\lambda=0.8$, we are able to train a robust fuel injection virtual sensor which is specifically trained to be robust within the range 0.6-1.0. In fact, with this trade off we are able to nearly match the original standard performance of the baseline standard model with 6.53% mean relative error on unperturbed examples. Figure 3 depicts the performance of the targeted robust model over various fuel injection quantities, where the model is in fact able to achieve low relative error within the range of 0.6-1.0.

While it achieves similar relative error to the standard model, more importantly, we find that the model trained with targeted robust training achieves better verified robust performance. The guarantees obtained by the targeted robust model within the range of 0.6-1.0 are nearly on par with the model trained with pure robust training, achieving a guarantee of at most 10.69% worst-case relative error within the perturbation set for higher injection quantities, without sacrificing standard performance.

V. CONCLUSION

Although work in adversarial robustness has largely focused on large-scale vision problems, in this work we showed how provably robust methods can be leveraged for smaller, model verification problems in the regression setting to get provable specifications. Specifically, we showed how methods from duality-based approaches for training provably robust neural networks can be used to train robust virtual sensors for fuel injection with guarantees on the performance under noise. While focusing solely on robust performance results in a degradation of standard performance, if performance on only a subset of the target range is desired, then it is possible to get the best of both worlds and achieve competitive standard performance while improving robust guarantees on the target range. We hope that this work opens up more higher-stakes applications to using neural network models by demonstrating that neural networks can be trained to have reasonable provable guarantees that restore confidence in their ability to perform robustly and reliably.

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