

# **Neuromechanical Justification of Lower-Limb Functional Tests for a Return to Running: A Muscle Coordination Analysis**

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## ABSTRACT

### Introduction

This study aimed to explore the shared muscle synergies between running and functional tests that are commonly used when considering the return to running (RTR) after sports-related injuries. We hypothesized that shared muscle synergies would differ among tasks, providing insights into prioritizing functional tests in the context of RTR.

### Methods

Ten healthy male participants were recruited to perform running and 9 functional tasks and their 16 lower limb and trunk muscle activities were recorded using electromyography (EMG). Non-negative matrix factorization (NMF) was applied to the collected EMG data to explore shared muscle synergies between running and the functional tasks. We compared the percentages of shared synergies and temporal patterns between running and each functional test.

### Results

Although all functional tests exhibited shared muscle synergies with running, the walk (75% [40%-100%]), single leg hops with 30% of maximum distance (SLH30) (60% [20%-100%]), and stepup (63% [0%-100%]) tasks displayed significantly higher percentages of shared synergies compared to other tests. However, significant

19 differences in temporal patternss were observed between running and all functional  
20 tasks, indicating varying activation profiles of shared muscle synergies.

## 21 **Conclusion**

22 Although all functional tests shared muscle synergies with running, variations in the  
23 degree of shared synergies and temporal patterns imply that walking, SLH30, and step-  
24 up tests may be the most beneficial in predicting running behavior post-ACL injuries.  
25 However, functional tests cannot fully replicate running dynamics, suggesting the need  
26 for a careful interpretation when assessing readiness for RTR.

27

28 **keyword:** Return to run, functional tests, muscle synergies.

## 29 INTRODUCTION

30 Returning to running (RTR) represents a key milestone in the rehabilitation  
31 process following sports-related injuries (1-4). The time frame for RTR can vary  
32 greatly; for instance, in the case of anterior cruciate ligament (ACL) injuries, the median  
33 time frame for RTR is approximately 12 weeks postoperatively, with a range of 5-39  
34 weeks (1, 2). This phase signifies the transition from early and mid-stage  
35 rehabilitation—focused on restoring basic knee function such as regaining adequate  
36 knee joint range of motion and resuming everyday activities—to the later stages of  
37 rehabilitation. These later stages involve high-intensity training, encompassing activities  
38 like jumping, cutting, and sport-specific tasks (5, 6).

39 Functional tests, which are frequently used as assessment-based criteria, are  
40 designed to replicate the physical demands of running. These tests include walk analysis,  
41 single leg hops, single leg squats (SLS), and various balance tasks (1, 2). However, it is  
42 critical to validate these functional tests as practical benchmarks for facilitating a safe  
43 and effective return to running post-injury. This is particularly important for validating  
44 tests that share motor control characteristics between running and functional tests.

45 The role of muscles in motor function is interconnected (7, 8). Essentially,  
46 motor control of lower limbs entails the coordinated activation of several lower limb

47 and trunk muscles in response to specific demands requiring both stability and  
 48 movement. (9). The central nervous system (CNS) is thought to employ an efficient  
 49 strategy to select the control signal from a large subspace. This is achieved by using a  
 50 limited set of motor modules or muscle synergies, formed by the flexible combination  
 51 of muscle activation (10). Thus, exploring the shared muscle synergies between running  
 52 and commonly used functional tests in clinical settings may provide the  
 53 neuromechanical rationale for functional tests as RTR criteria following sports-related  
 54 injuries.

55       The objective of this study was to determine whether functional tests share  
 56 muscle synergies with running tasks. Our hypothesis posited that running and functional  
 57 tests would display shared muscle synergies, but the extent of these synergies would  
 58 vary between tasks. Additionally, differences in the temporal profiles of these synergies  
 59 were expected. Such variability could provide critical insights for prioritizing functional  
 60 tests when evaluating readiness to RTR.

61

## METHODS

### 62 **Participants**

63 Ten healthy males with a mean (SD) age of 21 ( $\pm 0.3$ ) years old were recruited

64 from the local university. Each participant provided written informed consent for

65 participation in the study. The study was conducted following the principles of the

66 Declaration of Helsinki and approved by the local ethics committee of the University of

67 Tokyo (746).

## 68    **Experimental procedures**

69            Participants were asked to freely perform running and nine functional tasks  
70    commonly used when considering the return to running following ACL injuries (1), as  
71    described in Figure 1. Of note, the average velocities for running and walk were  
72    observed to be  $2.1 \pm 0.28$  m/s and  $1.2 \pm 0.12$  m/s, respectively. Each functional test was  
73    repeated five times and the order of the tasks was randomly assigned.

74

## 75    **Data collection**

76            Unilateral surface EMG data were recorded from 16 lower limb and trunk  
77    muscle groups: rectus abdominis (RA) (3cm lateral to umbilicus)(11), oblique externus  
78    (OE) (15cm lateral to umbilicus)(12), erector spinae at L1 (ESL1) (3cm lateral to the L1  
79    spinous process)(11), gluteus maximus (GM), gluteus medius (Gmed), biceps femoris  
80    (long head, BF), semitendinosus (ST), tensor fasciae latae (TFL), adductor longus  
81    (ADD), rectus femoris (RF), vastus medialis (VM), vastus lateralis (VL), tibialis  
82    anterior (TA), gastrocnemius medialis (MG), soleus (SOL) and peroneus longus (POL).  
83    The EMG sensor placements in lower limb were based on SENIAM (surface EMG for a  
84    non-invasive assessment of muscles) (13). A wireless EMG system (Trigno Wireless  
85    System; DELSYS, Boston, MA, USA) was used to record EMG activity. Each electrode  
86    had an inter-electrode spacing of 10 mm. The EMG signals were band-pass filtered (20–



87 450 Hz), amplified (with a 300-gain preamplifier), and sampled at 2000 Hz using an  
88 analog-to-digital converter (Power lab/16SP, AD Instruments, Australia).

89 Marker coordinate data were collected at 120 Hz using an eight-camera motion  
90 capture system (Vicon, Centennial, CO) with a 25-marker set. This set incorporated  
91 markers for the head, arms, trunk, pelvis, thighs, shanks, and feet, based on the Vicon  
92 Plug-in-Gait model. Marker coordinate data were interpolated using cubic spline  
93 interpolation to remove gaps in the data and filtered with a low-pass third-order  
94 Butterworth filter at 20 Hz. This data was then combined with subject-specific  
95 anthropometric data to create an eight-segment whole-body model. The kinematic  
96 profiles, calculated by the Vicon Plug-in-Gait model, were used to define the start and  
97 end of each trial for each task.

## 98 **EMG processing**

99 Raw EMG signals were high-pass filtered at 30 Hz to remove motion artifacts,  
100 and then demeaned. The signals were then full-wave-rectified and low-pass filtered at  
101 10 Hz, using a fourth-order Butterworth filter. The smoothed EMG envelopes were  
102 time-interpolated to generate 200 time points between the start and end points for each

trial so that the EMG data of each trial contributed to the extracted muscle synergies  
equally.

We created single-task EMG matrices for each task for each participant (that is,  
the matrix was composed of 16 muscles  $\times$  1000 timepoints (the no. of repetitions/cycles  
(5)  $\times$  200 samples)) to extract the muscle synergies for each task. Each EMG from each  
muscle was normalized to the maximum amplitude across all tasks.

### **Independent muscle synergy extraction**

To extract muscle synergies, NMF was applied to the single-task EMG matrix.  
NMF has previously been described as a linear decomposition technique (14, 15)  
according to equation (1):

$$M = W \cdot C + e \quad (1)$$

Where  $M$  ( $m \times t$  matrix, where  $m$  is the number of muscles,  $t$  is the number of  
samples [i.e., spatiotemporal profiles of muscle activity]) is a linear combination of  
muscle synergies,  $W$  ( $m \times n$  matrix, where  $n$  is the number of muscle synergies),  $C$   
( $n \times t$  matrix, representing temporal patterns), and  $e$  is the residual error matrix. We

119 applied NMF to extract possible  $n$  values from 1 to 16 for each dataset. To estimate the  
 120 optimal number of muscle synergies, the variance accounted for (VAF) by the  
 121 reconstructed EMG ( $M$ ) was calculated at each iteration (16). VAF was defined as 100  
 122  $\times$  the square of the uncentered Pearson's correlation coefficient (16, 17). Considering  
 123 the local minima inherent in NMF, each synergy extraction was repeated 50 times, and  
 124 the VAF was calculated at each iteration. Iterations with the highest VAF were  
 125 maintained (18-21). VAFs  $> 0.9$  were used to identify the optimal number of synergies  
 126 commonly used in the literature (20-25).

## 127 **Shared and specific muscle synergy extraction**

128 To extract the number of shared and running-specific, test-specific muscle  
 129 synergies, we used a modified version of the NMF algorithm based on the previous  
 130 studies (24, 26-28) that simultaneously extracts motor modules that are shared across  
 131 running and each task and those that are specific to each task from a data matrix  
 132 containing EMG from both conditions. We defined shared synergies as one that  
 133 activated in both task and thus, temporal pattern components have non-zero coefficients  
 134 in both tasks. To identify task-specific muscle synergies, the coefficients,  $C$ ,  
 135 corresponding to running are set to zero (i.e., test-specific synergies), and to each task

are set to zero (i.e., run-specific synergies). Detailed descriptions of this method are in elsewhere (24, 26-28). Briefly, as independent synergy extraction, the number of shared, running specific and task-specific synergies for each participant was determined by the minimum number of total muscle synergies that were required so that the VAF exceeded 90%. We defined the percentage of shared muscle synergies between running and each task as the ratio of the number of shared modules over the number of total motor modules across the two tasks. This modification is thought to improve the accuracy shared and task-specific muscle synergies by minimizing the possibility in which the numbers of synergies are underestimated when synergies are extracted from the EMG of each task independently, and compare the similarity of synergies between tasks to identify shared and task-specific synergies (26, 29).

We identified representative shared, running-specific and test-specific synergies across participants using hierarchical clustering analysis (Ward's method, Euclidian distance) of muscle weighting components (19). To determine the optimal number of clusters, we computed the gap statistic (30), which measures the compactness of the clustering achieved against those in reference data sets without any obvious clustering similar to a previous study (31). Reference data sets ( $N = 500$ ) were initially generated by sampling uniformly from within the bounds of the original muscle-synergy

154 set; each of them was then clustered by the hierarchical cluster, at 2–20 clusters. The  
155 optimal number of clusters was then the smallest number,  $h$ , such that

156

$$157 \quad \text{Gap}(h) \geq \text{Gap}(h+1) - \text{sd}(h+1)$$

158

159 where  $\text{Gap}(k)$  represents the gap statistic at  $h$  clusters, and  $\text{sd}(h)$  signifies the standard  
160 deviation of the clustering compactness within the reference data sets (30).

161

162 We defined shared, running-specific and test-specific synergy clusters as having each  
163 three types of synergies from  $\geq 1/2$  of synergies within a cluster. If the cluster was not  
164 contributed by any types of synergies, we defined it as “none”.

## 165 **Statistics**

166 We compared the percentage of shared muscle synergies between tasks. The  
167 values were compared using the Friedman test, which is a non-parametric method for  
168 multiple comparisons of independent samples, as a normal distribution was not  
169 observed in the data (tested using the Shapiro–Wilk test). When the Friedman test

170 showed significant effects, multiple comparison post-hoc analyses were performed  
 171 using the Wilcoxon signed-rank test.

172 Temporal pattern components were compared between running and functional  
 173 tests using statistical parametric mapping (SPM) (spm1d v0.4.7 for MATLAB, Institute  
 174 of Neurology, London, UK) (32). Since each single-task EMG matrix contained 5  
 175 repetitions/cycles, the extracted temporal pattern components of running and functional  
 176 tests were converted into an averaged repetition/cycles for each participant before  
 177 comparing temporal pattern components using SPM analysis.

178 The p values obtained from were corrected using the false discovery rate (FDR)  
 179 correction for multiple comparisons (33). The significance level for all tests was set  
 180 at  $p \leq 0.05$ . When there was a significant difference between the groups, effect sizes  
 181 (ES) were calculated using Cohen's d (34). We recruited ten participants without an a  
 182 priori power analysis, thus, we instead conducted a sensitivity analysis in G\*Power,  
 183 which indicated that an effect size of 0.71 would be necessary to obtain a power of 80%  
 184 at an  $\alpha$  of 0.05.

## RESULTS

### Muscles synergies for running and functional tests

Table 1 presents the VAF values between the groups for running and functional tests. Figure 2 depicts the percentage of muscle synergies shared between running and each respective functional test. The median percentage of shared synergies for each test were as follows: walk (75% [40%-100%]), SLH30 (60% [20%-100%]), SLH60 (50% [28%-75%]), SLH100 (50% [28%-80%]), SLS (32% [16%-50%]), Ybalance (40% [25%-80%]), Hraise (22% [20%-60%]), stepup (63% [0%-100%]), and Tbalance (40% [20%-80%]). The percentage of shared synergies was significantly different among the nine representative speeds in non-runners and runners ( $p = 0.000079$  for both groups, Friedman test one-way ANOVA). Statistically significant differences were observed between the following pairings: Walk and SLS ( $p = 0.0038$ , ES = 2.18), Walk and Hraise ( $p = 0.0098$ , ES = 2.05), SLH30 and SLS ( $p = 0.0017$ , ES = 1.56), SLH30 and Hraise ( $p = 0.0039$ , ES = 1.47), SLH and stepup ( $p = 0.0072$ , ES = 1.31), and Hraise and stepup ( $p = 0.0176$ , ES = 1.25).

Figure 3 shows representative shared, running-specific, and test-specific muscle synergies identified by cluster analysis (muscle weighting components (W) and temporal pattern components (C)). All functional tests shared the muscle synergies with

204 running although the number of shared synergies differed between functional tests. We  
205 also identified the running-specific and test-specific muscle synergies in all functional  
206 tests except walk task. The SPM analysis found significant differences in temporal  
207 pattern components between running and each functional task ( $p < 0.05$ ).



208

## DISCUSSION

209        In this study, we applied the NMF algorithm to large-scale and high-dimensional  
210    EMG data to investigate shared muscle synergies between running and functional tasks  
211    that are commonly used to determine when individuals with ACL injuries can return to  
212    running activities. Overall, although all functional tests shared muscle synergies with  
213    running, our results suggest that walking, SLH, and step-up tests could be the most  
214    beneficial because the percentages of shared synergies between running and these tests  
215    were significantly higher than those with other functional tests. However, despite these  
216    shared muscle synergies, there were notable differences in the temporal patterns  
217    between running and functional tasks. These discrepancies suggest the need for caution  
218    when using functional tests alone to predict running capabilities post-injury.

219        We hypothesized that a high degree of shared synergies between running and  
220    functional tests would signify these tests as strong predictors of running behavior, due  
221    to similar motor control characteristics, including the coordination of multiple muscles.  
222    Firstly, our results showed that the percentage of shared synergies (muscle weighting  
223    components) between running and walking was 75% [40%-100%], with all synergies  
224    between the two tasks being shared. This aligns with a previous study that suggested  
225    consistent muscle weighting components for walking and running, facilitating the

226 transition from walking to running. (35, 36). Similarly, we found that the step-up test  
 227 shared a significant amount of muscle synergies with running, which is reasonable  
 228 given that both activities share the same mechanical goal of propelling the body's center  
 229 of mass forward. (37-39). This action requires dynamic balance with appropriate  
 230 activations of lower and trunk muscles. The SLH test, particularly the SLH30 variant,  
 231 exhibited a higher degree of shared muscle synergies with running compared to other  
 232 non-jumping tests. Conversely, SLH60 and SLH100 demonstrated a relatively low  
 233 number of shared synergies, as they also generated test-specific synergies beneficial for  
 234 longer forward jumping.

235 NMF also extracted temporal pattern components, signifying the activation  
 236 profiles of muscle weighting components. Notably, there were significant differences in  
 237 some temporal pattern components of muscle synergies between running and all  
 238 functional tests. From a neuromechanical perspective, these temporal patterns reflect the  
 239 specific timing and intensity of muscle activation, illustrating that even though muscle  
 240 synergies may be similar, the way they are employed in different activities can differ  
 241 significantly. This discrepancy could be attributed to the unique demands of each task.  
 242 Even though similar muscle groups may be engaged (thus the shared synergies), the  
 243 coordination, timing, and intensity of muscle activation might not perfectly align. (40,

244 41). From a clinical perspective, these differences suggest that functional tests cannot  
 245 fully replicate running behavior. Specifically, the characteristics of temporal  
 246 components of walking, SLH30, and stepup tasks that exhibited higher percentages of  
 247 shared synergies, differed significantly compared to those in running. These disparities  
 248 in temporal patterns, despite shared muscle synergies, can limit the interpretation of the  
 249 results, suggesting that the differing activation profiles of shared muscle synergies in  
 250 these functional tests may impede their predictability of safe running performance.  
 251 Clinicians should consider that while these functional tests can help assess readiness for  
 252 running by reflecting certain shared muscle activation patterns, they do not perfectly  
 253 mimic the exact dynamics of running. Therefore, clinicians should utilize functional  
 254 tests in combination with running evaluations in a clinical setting using a treadmill  
 255 whenever possible. Additionally, other assessments such as knee range of motion,  
 256 strength, and psychological readiness for running should be taken into account. A  
 257 comprehensive assessment will aid in detecting basic function for running and  
 258 deviations from normal running patterns before athletes resume running outside a  
 259 clinical setting (1, 2).

260       Steady progress through high-quality rehabilitation is essential for functional  
 261 recovery (42). Resuming sports activities prematurely can elevate the risk of secondary

262 injuries (43), while excessively slow progress might adversely affect motivation and  
 263 psychological preparedness for sports performances (44). Consequently, determining  
 264 the appropriate timing for a return to running (RTR) is a crucial milestone in the  
 265 effective rehabilitation continuum for a return to sports (1, 2). Our findings provide a  
 266 novel rationale for using functional tests in decision-making for RTR. Further  
 267 exploration into the degree of shared synergies between running and functional tests  
 268 among individuals with and without lower-limb sports injuries could yield intriguing  
 269 results. This, along with investigating the relationship between the degree of shared  
 270 synergies and future injuries, could potentially offer valuable biomarkers for injury  
 271 prevention.

272 Note of caution in interpreting the study findings is warranted. Although  
 273 numerous functional tests mimic the motor control patterns or muscle synergies of  
 274 running, the running speed employed in this study was relatively low, averaging  $2.1 \pm$   
 275  $0.28$  m/s. This raises uncertainty regarding whether the functional tests used also  
 276 capture the muscle synergy variations of high-speed running, direction changes, and  
 277 cutting movements, all of which are high-risk activities for lower limb sports injuries.  
 278 Indeed, a previous study highlighted distinct muscle synergies at different speeds (19),

279 If the tests used don't replicate these running variations, we might not accurately predict  
280 running ability and may need to consider other functional test batteries.

281

## 282 **CONCLUSION**

283 Our study suggests that the walk, SLH, and step-up tests can be reliable  
284 indicators of running behavior due to their shared muscle synergies with running.  
285 Despite different temporal pattern components in these tests compared to running, they  
286 offer a practical means to assess running ability. However, clinicians should be aware  
287 that these functional tests may not fully emulate the physical demands of running.  
288 Therefore, these tests should be incorporated as key components in the comprehensive  
289 decision-making process for a return to running.

290

291

## **Declarations**

292

### **293 Ethics approval and consent to participate**

294 All experimental protocols were approved by the local ethics committee of the

295 University of Tokyo, and all participants gave their written informed consent.

296

### **297 Consent for publication**

298 The participant depicted in the photos gave their written consent for publication.

299

### **300 Availability of data and materials**

301 The datasets used and/or analyzed during the current study are available from the

302 corresponding author on reasonable request.

303

### **304 Acknowledgements**

305 Not applicable.

306

### **307 Conflicts of interest**

308     The authors declare no conflict of interest.

309

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**FIGURE 1.** Running and Nine Functional Tests. Participants independently performed running at a jogging pace and a walk. As a result, the average velocities for running and walk were noted to be  $2.1 \pm 0.28$  m/s and  $1.2 \pm 0.12$  m/s, respectively. In the single leg hops (SLH) tests, participants executed a forward jump at 30% (SLH30), 60% (SLH60), and 100% (SLH100) of their maximum distance. A single leg squat (SLS) was carried out with approximately 45 degrees of knee flexion. Hraise refers to the heel raise task, while stepup denotes a forward step up onto a 10 cm height box. Tbalance describes an exercise where the participant stands on the injured leg, forms a 'T' shape with the body, drives upward to a standing position, and then slowly returns to the 'T' position.

**FIGURE 2.** The percent of shared muscle synergies between running and each functional test. Median values are indicated as horizontal lines inside the boxes. The edges of the boxes represent the 25th and 75th percentiles. ( $p = 0.000079$  for both groups, Friedman test one-way ANOVA). Statistically significant differences were observed between the following pairings: Walk and SLS ( $p = 0.0038$ , ES = 2.18), Walk and Hraise ( $p = 0.0098$ , ES = 2.05), SLH30 and SLS ( $p = 0.0017$ , ES = 1.56), SLH30 and Hraise ( $p = 0.0039$ , ES = 1.47), SLH and stepup ( $p = 0.0072$ , ES = 1.31), and Hraise and stepup ( $p = 0.0176$ , ES = 1.25).

**FIGURE 3.** Representative shared, running-specific, and test-specific muscle synergies identified by cluster analysis (muscle weighting components (W) and temporal pattern components (C)). The gray sections on the X-axis of C represent the temporal pattern components where a significant difference between running and functional tasks was observed ( $p < 0.05$ ). RA: rectus abdominis, OE: oblique externus, ESL1(erector spinae at L1), GM: gluteus maximus, Gmed: gluteus medius, BF: biceps femoris, ST: semitendinosus, TFL: tensor fasciae latae, ADD: adductor longus, RF: rectus femoris, VM: vastus medialis, VL: vastus lateralis, TA: tibialis anterior, MG: gastrocnemius medialis, SOL: Soleus (SOL) and POL: peroneus longus.

518  
519

run



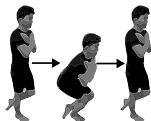
walk



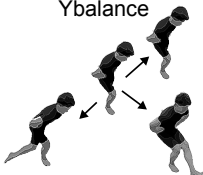
SLH30  
SLH60  
SLH100



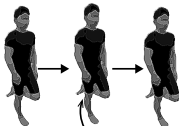
SLS



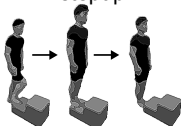
Ybalance



Hraise



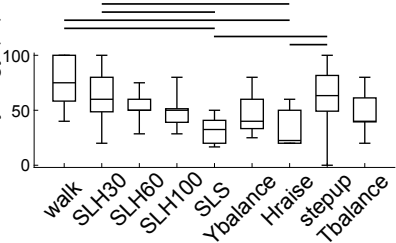
stepup

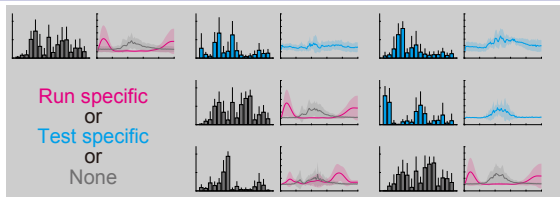
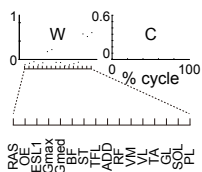
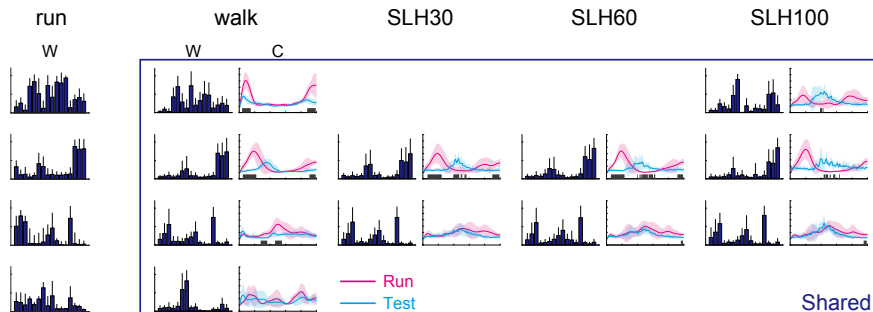


Tbalance



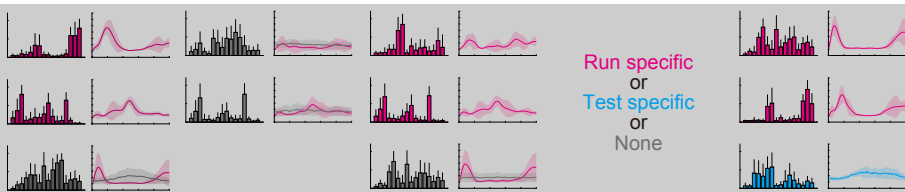
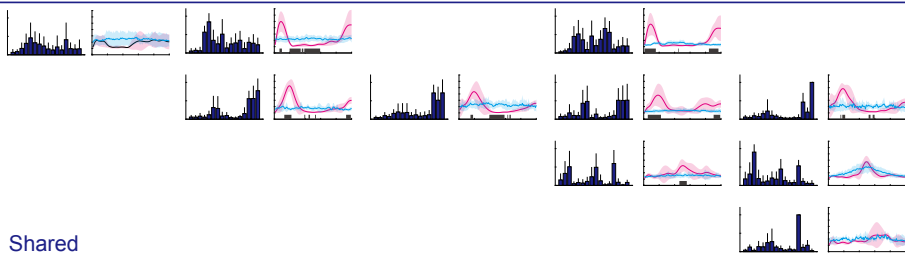
Shared synergy (%)





SLS Ybalance Hraise stepup Tbalance

W C



**TABLE 1.** Variance account for (VAF) and number of muscle synergies for running and functional tests

		VAF values	Number of synergies
Running		0.92 ( $\pm$ 0.01)	3.9 ( $\pm$ 0.31)
Functional tests	Walk	0.92 ( $\pm$ 0.01)	3.8 ( $\pm$ 0.63)
	SLH30	0.92 ( $\pm$ 0.01)	4.3 ( $\pm$ 0.67)
	SLH60	0.91 ( $\pm$ 0.01)	4.6 ( $\pm$ 0.51)
	SLH90	0.91 ( $\pm$ 0.01)	5.1 ( $\pm$ 0.56)
	SLS	0.92 ( $\pm$ 0.01)	2.4 ( $\pm$ 0.51)
	Ybalance	0.92 ( $\pm$ 0.01)	3.6 ( $\pm$ 0.84)
	Hraiese	0.92 ( $\pm$ 0.01)	2.3 ( $\pm$ 0.67)
	Steuup	0.91 ( $\pm$ 0.01)	4.5 ( $\pm$ 0.97)
	Tbalance	0.92 ( $\pm$ 0.01)	3.4 ( $\pm$ 0.69)